

## Tickle me, I think I might be dreaming! Sensory attenuation, self-other distinction, and predictive processing in lucid dreams

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1 **Tickle me, I think I might be dreaming!**

2 **Sensory attenuation, self-other**  
3 **distinction, and predictive processing in**  
4 **lucid dreams**

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24

25

26 **Abstract**

27 The contrast between self- and other-produced tickles, as a special case of sensory  
28 attenuation for self-produced actions, has long been a target of empirical research.  
29 While in standard wake states it is nearly impossible to tickle oneself, there are  
30 interesting exceptions. Notably, subjects awakened from REM (rapid eye movement-)  
31 sleep dreams are able to tickle themselves. So far, however, the question of whether it  
32 is possible to tickle oneself and be tickled by another *in* the dream state has not been  
33 investigated empirically or addressed from a theoretical perspective. Here, we report  
34 the results of an explorative web-based study in which participants were asked to rate  
35 their sensations during self-tickling and being tickled during wakefulness,  
36 imagination, and lucid dreaming. Our results, though highly preliminary, indicate that  
37 in the special case of lucid control dreams, the difference between self-tickling and  
38 being tickled by another is obliterated, suggesting that sensory attenuation for self-  
39 produced tickles spreads to those produced by non-self dream characters.

40 These preliminary results provide the backdrop for a more general theoretical  
41 and metatheoretical discussion of tickling in lucid dreams in a predictive processing  
42 framework. We argue that the primary value of our study lies not so much in our  
43 results, which are subject to important limitations, but rather in the fact that they  
44 enable a new theoretical perspective on the relationship between sensory attenuation,  
45 the self-other distinction and agency, as well as suggest new questions for future  
46 research. In particular, the example of tickling during lucid dreaming raises the  
47 question of whether sensory attenuation and the self-other distinction can be  
48 simulated largely independently of external sensory input.

49

50 **Keywords:** agency, self-other distinction, dreaming, lucidity, tickling, self-tickling,  
51 sensory attenuation, predictive processing

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57 «... *from the fact that a child can hardly tickle itself, or in a much less degree than*  
58 *when tickled by another person, it seems the precise point to be touched must not be*  
59 *known...» (Darwin, 1859)*

60

## 61 **1. Introduction**

62 Why is it almost impossible to tickle oneself, and so easy to be tickled by others? And  
63 what can tickling tell us about the sense of agency, ownership and the self-other  
64 distinction? At least since Darwin, it has been thought that the inability to self-  
65 tickle—especially to the point of inducing laughter—is linked to the unpredictability  
66 and uncontrollability of other- as opposed to self-tickling. The advent of tickling  
67 machines enabled researchers to identify and isolate the relevant factors in an  
68 experimentally controlled manner. In a seminal study, Weiskrantz et al. (1971)  
69 devised an apparatus that could be used for active (motor command plus  
70 proprioceptive feedback) or passive (proprioceptive feedback *without* motor  
71 commands) self-tickling as well for being tickled by another person. They found that  
72 active self-tickling was least effective, with passive self-tickling being intermediate  
73 between active self-tickling and being tickled by another. This result, which has been  
74 confirmed in a number of follow-up studies (e.g. Blakemore et al. 2000b), suggests  
75 that sensory feed-forward information, but also proprioceptive feedback from the  
76 tickling hand are crucial for sensory attenuation during self-tickling and for the self-  
77 other distinction. The general idea is that sensory attenuation, in which the sensory  
78 consequences of self-generated actions are dampened, underlies the ability to  
79 distinguish between self and others (Blakemore et al. 2000a; Frith et al. 2000).  
80 Because the sensory consequences of self-produced tickling match our predictions  
81 and thus are unsurprising, they also *feel* less ticklish than the more unexpected tickles  
82 produced by others. Indeed, on this view, their felt ticklishness alerts us to the fact  
83 that we have been tickled by another, and not by ourselves.

### 84 **1.1 Tickling in a predictive processing framework**

85 The theoretical framework proposed by predictive processing accounts now suggests  
86 a new way of making sense of Darwin's claim that sensory attenuation during self-  
87 tickling depends on the predictability of the stimulus. According to this framework

88 (e.g. Clark 2013b; Hohwy 2013), the brain is essentially involved in hypothesis  
89 testing and prediction error minimization, with prediction errors resulting from a  
90 mismatch between predicted and actual sensory input. While prediction error  
91 minimization has been suggested to operate on many different levels of the cortical  
92 hierarchy and to underlie a wide range of cognitive processes, ranging from  
93 perception, beliefs, learning and attention to illusions, hallucinations and delusions  
94 (Hohwy 2010; Mumford, 1992), there are principally two different ways in which it  
95 can be achieved. First, incoming sensory inputs can be used to optimize internal  
96 predictions (or generative models) about the brain's next possible states, as in  
97 perception. Second, action, or active inference, ensues when the organism changes its  
98 sensory inputs in order to better match its predictions (Friston et al. 2011). What the  
99 brain abhors, on this account, is surprise: the amount of surprise, often associated  
100 more technically with free energy (Friston & Kiebel, 2009), signals that the internal  
101 predictions were insufficiently accurate or outright false. Less surprise, on this view,  
102 indicates a better fit of the internal models.

103 This view offers a new way of making sense of sensory attenuation during self-  
104 tickling. On the classical model, a copy (the so-called efference copy) of motor  
105 commands is used to compare the predicted and actual sensory consequences of self-  
106 generated movement; when the discrepancy is minimal, sensory attenuation occurs  
107 (Blakemore et al. 1998 a,b, 2003; Frith et al. 2000). By contrast, predictive processing  
108 accounts do away with the need for an efference copy, suggesting that in ambiguous  
109 situations, the attribution of agency can be resolved by attending away from the  
110 consequences of self-generated movements. On this view, “sensory attenuation is a  
111 necessary consequence of reducing the precision of sensory evidence during  
112 movement to allow the expression of proprioceptive predictions that incite  
113 movement” (Brown et al. 2013, p. 413). Attenuation, in other words, is the  
114 phenomenal mark of self- as opposed to other-generated action.

115 A recent study (van Doorn et al. 2014) contrasted these two accounts by  
116 investigating self-tickling and being tickled by another person in a highly surprising  
117 context—namely an experimentally induced self-other confusion involving the  
118 illusion of having swapped bodies with someone else (cf. Petkova & Ehrsson 2008)  
119 and of experiencing another person's hand as one's own (cf. rubber hand illusion;  
120 Botvinick & Cohen 1998). The background idea was that this would be a way of

121 testing whether confrontation with a highly non-standard, surprising situation might  
122 undermine the precision with which the exact pattern of proprioceptive and tactile  
123 feedback during self-tickling could be predicted—thus enabling it to feel more like  
124 being tickled by someone else. Whereas this would fit the classical efference copy  
125 model, van Doorn and colleagues’ findings suggest that this is not the case: “even as  
126 participants shift their first-person perspective to someone else’s, or experience  
127 having a baseball bat as a hand, or an invisible hand, there is no change in the  
128 characteristic pattern of feeling less tickle sensation when producing the touch  
129 themselves, and more tickle sensation when the touch is produced by someone else”  
130 (van Doorn et al. 2014, p. 8). The authors conclude that because sensory attenuation  
131 during self-tickling remains robust even in these highly surprising conditions, active  
132 inference, rather than context, is crucial for sensory attenuation, thus favoring  
133 predictive processing over the classical efference copy model.

## 134 **1.2. The phenomenal-functional characteristics of dreaming**

135 In the following, we argue that dreams are a unique contrast condition for  
136 investigating the relationship between agency and the self-other distinction not just in  
137 specific experimental setups in waking participants, but across the sleep-wake cycle.  
138 In particular, the example of dreaming can extend existing work on sensory  
139 attenuation and the self-other distinction within the framework of predictive  
140 processing.

141 First, while paradigms investigating full-body illusions such as the body-swap  
142 illusion aim to disturb the mechanisms underlying the self-other distinction in healthy,  
143 waking subjects, dreaming involves a more profound and naturally occurring  
144 breakdown of the distinction between self and non-self, or between internally and  
145 externally generated sensory information. In the dream state, what is in fact an  
146 internally generated world-model—the dream world—is not experienced as self-  
147 generated, but simply as real (Metzinger 2003; Revonsuo 2006), typically including  
148 the experience of interacting with mind-independent characters and objects. Dreams  
149 are, in other words, *immersive spatiotemporal hallucinations* (Windt 2010,  
150 forthcoming): they involve the robust sense of presence in a world that is experienced  
151 as real; yet, at the same time, this experienced world is only weakly constrained by  
152 sensory inputs from the sleeping subject’s actual environment and is largely the

153 product of internal signal generation, and hence hallucinatory. Because of this  
154 profound confusion of internally and externally produced stimuli, dreaming has even  
155 been suggested to be a model of delusional and hallucinatory wake states, such as  
156 those arising in schizophrenia (see Hobson 1999; Gottesmann 2006; see Windt &  
157 Noreika 2011 for critical discussion).

158       Second and relatedly, social imagery is abundant in dreams, with non-self  
159 (usually human) dream characters being described in over 95% of adults' dream  
160 reports and the average dream involving 2-4 non-self dream characters (Kahn et al.  
161 2000; see Nielsen & Lara-Carrasco 2007 for details and further references). These are  
162 typically experienced as being highly realistic and clearly distinct from the self. Social  
163 interactions are actually even more frequent in dream reports than in randomly timed  
164 waking reports (McNamara et al. 2005) and are often experienced as emotionally  
165 engaging (Kahn et al. 2002). In particular, non-self dream characters are often  
166 experienced as having a mind of their own, with dream reports frequently describing  
167 cases in which the dreamer engages in theory-of-mind attributions by ascribing  
168 emotions, beliefs and desires to other dream characters (McNamara et al. 2007). This  
169 suggests that dreaming involves not only a breakdown of the distinction between  
170 internally and externally generated sensory information, but also specific disturbances  
171 in self-other distinctions.

172       Third, while both dreaming and wakefulness are characterized, on the  
173 phenomenological level of description, by the experience of interacting with a world,  
174 the transition from wakefulness to dreaming is accompanied by important functional  
175 changes. During the dream state, conscious experience is comparatively shielded from  
176 and only weakly constrained by external stimuli. While external stimuli are  
177 occasionally incorporated in dreams, the pattern of incorporation is often indirect,  
178 resembling sensory illusions rather than veridical perception (as in a dream of hearing  
179 a siren that is triggered by the sound of one's alarm clock; cf. Nielsen et al. 1995; see  
180 Windt forthcoming for theoretical discussion). Moreover, REM-sleep paralysis, or the  
181 near-complete absence of muscle tone during REM sleep, prevents the outward  
182 enactment of internally experienced movements (for a discussion of important  
183 exceptions involving dream-enactment behavior, see Schenck, 2005; Nielsen et al.  
184 2009; Leclair-Visonneau et al. 2010). This unique phenomenal-functional  
185 configuration, as will become clear below, is particularly interesting from a predictive

186 processing perspective.

### 187 **1.3 Predictive processes in dreams**

188 Recent attempts to accommodate REM-sleep dreaming in a predictive processing  
189 framework suggest that alterations in the monitoring and generation of sensory  
190 predictions might be crucial to dreaming. As noted above, these accounts owe some  
191 of their attraction to the ambitious claim that not just veridical perception, but also  
192 imagination, hallucinations and nocturnal dreams are the outcome of a process of  
193 hypothesis testing and prediction error minimization. In this framework, dreaming,  
194 due to the comparative attenuation of external stimulus processing, has been  
195 described as a state in which hypothesis testing and prediction error minimization can  
196 be rehearsed and optimized (Clark, 2013a,b; Hohwy 2013; cf. Hobson & Friston 2012,  
197 2014).

198 For the same reason, however, dreaming also presents a challenge for predictive  
199 processing accounts. Recall that the key claim of these accounts is that internal  
200 predictions are tested against incoming sensory stimuli, resulting either in the  
201 optimization of the internal, generative models themselves (as in perception) or in  
202 changing the incoming stimuli to better fit the internal models (as in active inference).  
203 In dreams, however, both types of processes are disturbed: because dreams unfold  
204 largely independently of sensory input and motor output, the crucial ingredient for  
205 either model optimization or active inference is lacking. Yet, because dreaming  
206 nonetheless involves the vivid phenomenology of perceiving and interacting with a  
207 mind-independent world rather than with one of our own making, both processes must  
208 be simulated, as it were, largely offline. Indeed, it has been suggested that dream  
209 bizarreness might result from the fact that dreams are largely unconstrained by  
210 external stimuli and hence by prediction errors, leading to the loss of representational  
211 accuracy, for instance of visual dream imagery (cf. Fletcher & Frith 2008; Hobson &  
212 Friston 2012). This does not explain, however, why large portions of dream  
213 experience are not bizarre, but are experienced as highly realistic (including, as noted  
214 above, non-self dream characters). This in itself is a remarkable computational  
215 achievement, suggesting that in the special case of dreaming, the processes of  
216 prediction error minimization and hypothesis testing are simulated largely internally,  
217 but nonetheless in a fairly realistic manner. Dreams thus offer a unique opportunity



218 for investigating the interplay between hypothesis testing and prediction error  
219 minimization on the one hand and the sensory stimuli they are tested against, in  
220 standard wake states, on the other hand, suggesting that this relationship changes  
221 dramatically over the sleep-wake cycle.

#### 222 **1.4 Self tickling in dreams?**

223 In sum, the presented literature suggests that a transient breakdown in the ability to  
224 discriminate, on the level of phenomenal experience, between self- and other-  
225 generated actions, mediated by disturbances in the sense of agency and the precision  
226 of sensory predictions, might be crucial to the unique phenomenology of dreaming.  
227 Here, we suggest that questions about the process of hypothesis testing and prediction  
228 error minimization in dreams can be sharpened by focusing on the special question of  
229 why, in dreams, self-produced actions are experienced as if they were caused by  
230 others. Again, sensory attenuation for self-tickling as opposed to being tickled by  
231 another is a promising example. In particular, schizophrenics, unlike healthy subjects,  
232 are able to tickle themselves (Blakemore et al., 2000), presumably due to a  
233 disturbance in self-other distinctions. Similarly, Blagrove and colleagues (2006)  
234 found that participants awakened from REM sleep dreams are able to tickle  
235 themselves, which they explained by saying that “a deficit in self-monitoring and a  
236 confusion between self- and external-stimulation accompany REM dream formation”  
237 (Blagrove et al. 2006, p. 291).

238 The logical next question to ask, we suggest, is whether it is possible to tickle  
239 oneself in dreams. Here, it is important to note that the evidence presented by  
240 Blagrove and colleagues is indirect at best, as the effect was only observed after  
241 awakening and not during the dream state itself. Moreover, subjects were only asked  
242 about the presence or absence of dream recall, but the content of their dreams was not  
243 analyzed. This points to an important methodological limitation, namely the practical  
244 impossibility of obtaining systematic ticklishness ratings for self- as compared to  
245 other-administered tickles during dreams. Lucid dreams, however, are an important  
246 exception, as they involve not only insight into the fact that one is now dreaming, but  
247 often also the ability to control the dream narrative, including the actions of non-self  
248 dream characters (LaBerge 1985, 1990; Voss et al. 2013). Importantly, lucid insight  
249 into the fact that one is dreaming often coexists alongside vivid visual and motor

250 hallucinations and social imagery, sometimes even leading lucid dreamers to think  
251 they are sharing their dream with another (Levitan, 1994). This is important, because  
252 it suggests that the disturbances in self-other distinctions that characterize nonlucid  
253 dreams largely remain intact in lucid dreams.

254 Our explorative study aimed to exploit this fact by asking participants to contrast  
255 self- and other-administered tickles in three conditions: wakefulness, imagination, and  
256 lucid dreaming. Based on theoretical considerations on lucid dreams, but also on  
257 findings on self-tickling in healthy subjects, schizophrenics, and following REM-  
258 sleep dreams, we predicted that while our participants would rate other-administered  
259 tickles as more ticklish than self-administered ones in wakefulness (*prediction 1*), this  
260 difference would be diminished in dreams (*prediction 2*). We also expected that in  
261 dreams, self-tickling would feel more like being tickled by another than like self-  
262 tickling in wakefulness (*prediction 3*). By contrast, we expected the distinction  
263 between self- and other-administered tickles to be preserved for imagined tickles  
264 (*prediction 4*), though we expected that both would be rated as less ticklish than their  
265 actual (and dreamed) counterparts (*prediction 5*).

266

## 267 **2. An explorative study of self-tickling in lucid dreamers**

268 This explorative online study aimed to rate how ticklish it feels to tickle oneself as  
269 compared to being tickled by someone else in three different conditions: actual self-  
270 tickling versus actually being tickled during wakefulness; imagined self-tickling  
271 versus imagining being tickled; and self-tickling versus being tickled by another in a  
272 lucid dream.

### 273 ***Participants:***

274 Participants were recruited via a German Internet platform for lucid dreamers (www.  
275 klartraum.de). 61 persons participated in the first part of the study (questionnaire on  
276 actual and imagined tickling in wakefulness), but only 9 participated in the second  
277 part (tickling in lucid dreams). From our data we cannot judge whether this high drop-  
278 out rate was due to the difficulty of the task or the time-consuming nature of the study  
279 as whole. We did, however, ask participants to fill out the lucid dreaming  
280 questionnaire even if they did not manage to tickle themselves in their dream. Out of

281 the 9 dream responses, 7 (4 female, average age 20.7) were able to complete the task  
282 and were thus included in the analysis.

283 ***Procedure:***

284 The experiment was entirely web-based. Written instructions were given to the  
285 participants before they started the experiment. Participants were instructed to  
286 complete the experiment in two sessions. Actual tickling and imagined tickling were  
287 performed in a first session during the daytime, followed by dream tickling in a  
288 second session during a lucid dream. In all conditions, participants were asked to use  
289 (or imagine using, respectively) a feather, brush or a similar tool to first tickle their  
290 own foot, then to ask (or imagine asking) someone else to tickle their foot.  
291 Immediately after each task (respectively after waking up from a lucid dream), they  
292 completed an online questionnaire (presented on webropol), adapted from the study  
293 conducted by Blagrove and colleagues (2006), in which they were asked to rate how  
294 “intense”, ”ticklish”, ”pleasant”, and ”irritating” the stimulation felt on a discrete  
295 scale from 0 (not at all) to 10 (extremely). For the dream condition, they were  
296 additionally asked to give a free dream report (see supplementary material). In order  
297 to minimize the risk of forgetting, we emphasized the importance of filling in the  
298 questionnaire and reporting their dream immediately after awakening.

299

300 ***Results:***

301

302 \*\*\* please insert somewhere here Figure 1 \*\*\*

303

304 The results are depicted in Figure 1, which shows mean and standard errors for each  
305 of the four scales in the three different conditions (waking, imagining, dreaming).  
306 Wilcoxon tests (see Table 1) were done for each scale in each of the conditions in  
307 order to test whether there was a difference between self-tickling and being tickled by  
308 another person.

309

310 \*\*\* please insert somewhere here Table 1\*\*\*

311

312 Confirming previous findings (e.g. Weiskrantz et al., 1971) and in line with  
313 *prediction 1*, participants' ratings of the ticklishness of other-administered tickles  
314 were higher than for self-tickling when the task was performed during wakefulness. A  
315 similar pattern was found for imagined self- and other-administered tickling, though  
316 both had a lesser absolute intensity than actual tickling (thus confirming *predictions 4*  
317 *and 5*). This makes us confident that participants performed the test correctly and that  
318 the method was sufficient to replicate the results found by a number of existing  
319 studies. By contrast, during lucid dreams, and in line with *prediction 2*, we found no  
320 significant difference between self- and other-administered tickling. Interestingly,  
321 however, ticklish sensations in dreams still felt less intense than actually being tickled  
322 by another person during wakefulness and were comparable to waking self-tickling  
323 (Wilcoxon test,  $Z= 0.82$ ,  $p=0.41$ )—thus contradicting *prediction 3*. Moreover, this  
324 effect was specific to ticklishness ratings, and dream tickles were rated as similarly  
325 intense, irritating and pleasant as imagined and/or actual tickles. Our highly  
326 preliminary conclusion is that both being tickled and tickling oneself, at least in a  
327 lucid dream, feel much like tickling oneself in wakefulness, but weaker than being  
328 tickled by another. This suggests that in the special case of lucid control dreams,  
329 sensory attenuation characterizes not just self-administered tickles, but also those  
330 experienced as being administered by another. This stands in interesting contrast to  
331 the findings that schizophrenic subjects rate self-tickling as being as intense as being  
332 tickled by another, and that the same is true for subjects who have awakened from  
333 (presumably nonlucid) REM-sleep dreams.

334

### 335 **3. Limitations**

336 Clearly, this study is subject to important limitations and the results should be taken  
337 with caution. Yet, we think that considering these in detail is interesting in itself,  
338 because it helps illustrate what we take to be the larger theoretical implications of this  
339 study. Though this may sound somewhat paradoxical, we think that the value of our  
340 study lies, in part, in the insights that can be derived from a careful consideration of  
341 what it did *not* show, and why. Indeed, this is also why we take the main value of this  
342 study to be of a theoretical rather than of an empirical nature. In particular, a

343 discussion of these limitations also suggests a number of specific challenges and  
344 questions for future research.

### 345 **3.1 Practical and methodological limitations**

346 To begin with, there are a number of practical and methodological limitations. Due to  
347 the demanding nature of the task, only a very small number of subjects succeeded in  
348 completing the tickle-test in a lucid dream. Because this was an online study, we  
349 could not control whether the task was indeed carried out according to our  
350 instructions (though reports no. 4 and 5 suggest that this was the case), which sleep  
351 stage the lucid dreams occurred in, or how soon after awakening participants actually  
352 reported their dreams. This situation could be improved by conducting a laboratory  
353 study, insisting on signal verified lucid dreams and obtaining polysomnographic  
354 measurements to determine the sleep stages in which the dreams occurred (cf.  
355 LaBerge et al. 1981).

356 Furthermore, unlike the studies of self-tickling in waking subjects, we were not  
357 able to use a tickling machine and thus to standardize the procedure. Rather, as shown  
358 by the dream reports, our participants dreamt up different tickling devices, such as  
359 wooden spoons, pens, or branches (cf. reports no. 1, 4, 6) and were also occasionally  
360 tickled elsewhere than on the foot (cf. reports no. 5, 8). A number of dream reports  
361 describe difficulties with dream-character compliance, such that dream characters  
362 refused to carry out the tickling task or poked rather than tickled the dream self (cf.  
363 report no. 1). Some dream reports are also too short to be sure whether dreamers were  
364 really lucid (cf. reports no. 3, 7, 8), and even when lucid, participants occasionally  
365 forgot to carry out the task (cf. report no. 9).

366 Expectation may have also biased our results. For instance, Giguère & LaBerge,  
367 (1995) found that pinching in a lucid dream was not really painful, possibly due to  
368 expectation and motivation bias; moreover, at least one dream report (cf. report no. 2)  
369 suggests that the dreamer was theorizing about the outcome and implications of the  
370 experiment even during the lucid dream. Yet, the fact that ticklish-ratings for lucid  
371 dreams did not simply mirror ratings for actual and imagined tickling and specifically  
372 that the characteristic gap between self- and other administered tickles was preserved  
373 during imagined, but obliterated during dreamed task performance suggests that our  
374 study nonetheless tapped into a genuine difference.

### 375 **3.2 Theoretical limitations**

376 A further limitation that is not specific to our study but characterizes lucid dream  
377 research in general is that the generalizability of results from lucid to nonlucid dreams  
378 is unclear. Indeed, it is possible that *prediction 3*, which was contradicted by our  
379 study, accurately characterizes nonlucid dreams. Because the phenomenal property of  
380 agency and the resulting ability to control both one's own and others' actions differ  
381 strongly between lucid and nonlucid dreams (Metzinger 2003; Windt & Metzinger  
382 2007; Voss et al. 2013), and because of the suggested link between agency and  
383 sensory attenuation, it could well be that in nonlucid dreams, there would be no  
384 sensory attenuation for self-tickling.

385 A first step towards answering this question might be to compare ticklish  
386 sensations after waking up from lucid as compared to non-lucid dreams. If the  
387 attenuation of ticklish sensations in lucid dreams is indeed related to the increased  
388 sense of agency that characterizes lucid dream control, then one might expect both  
389 self- and other-administered tickles to be attenuated even after awakening from a  
390 lucid dream. Alternatively, the pattern observed in dreams might also be reversed, and  
391 participants awakened from a lucid dream might show the same ticklish ratings as  
392 participants awakened from nonlucid REM-sleep dreams, namely an increased ability  
393 to tickle themselves. It could also be the case, however, that after awakening from a  
394 lucid dream, ticklish ratings are the same as in standard wakefulness, but different  
395 from the pattern observed following nonlucid REM-sleep dreams. Indeed, lucid  
396 dreams are often described as involving a shift towards wake-like cognitive activity  
397 and agentive control and might even be regarded as subjective states in a much  
398 stronger sense than nonlucid dreams (Metzinger, 2003; Windt & Metzinger, 2007). It  
399 has also been suggested that lucidity occurs during a hybrid state between nonlucid  
400 REM-sleep dreams and wakefulness (Voss et al. 2009). Whatever the outcome,  
401 contrasting ticklishness ratings after awakening from lucid and nonlucid dreams  
402 might tell us something about the relationship between lucid insight, agency and  
403 sensory attenuation, as well as about the generalizability of our results from lucid to  
404 nonlucid dreams.

405

## 406 **4. Discussion**

407 Given the limitations discussed above, the results of our study are highly preliminary.  
408 Yet, we think they give rise to a number of interesting, albeit speculative,  
409 considerations, as well as to some new hypotheses and perspectives for future  
410 research. In order to describe these in a maximally clear manner, we will assume,  
411 *purely for the sake of argument*, that our results had been substantiated by further  
412 studies. Skeptical readers are invited to regard the following as a theory-based thought  
413 experiment loosely inspired by some preliminary empirical observations.

### 414 **4.1 Does sensory attenuation really underlie the self-other** 415 **distinction in dreams?**

416 Even if they are taken at face value, it is important to note that the interpretation of  
417 our results is hampered by an underlying theoretical ambiguity. Spelling this out in  
418 some detail is instructive, because it helps illustrate a more general difficulty in  
419 comparing dreams and wakefulness. This is especially important given our claim that  
420 the example of lucid dreaming extends research on sensory attenuation in wakefulness.  
421 So far we have assumed that the weak ticklishness ratings found in our study are  
422 indeed an example of sensory attenuation specific to self-generated actions. However,  
423 because dream actions unfold largely independently both of the actual execution of  
424 dream movements (with the exception of dream-enactment behaviors, as discussed  
425 above) and of appropriate proprioceptive feedback, it is not clear that it makes sense  
426 to say that in dreams, the consequences of self-produced actions are attenuated in the  
427 first place. Moreover, while dreams typically involve the experience of phenomenal  
428 selfhood, or of being or having a self, bodily experiences are characteristically  
429 underrepresented in dreams, and body and body-part representations can also differ  
430 from the waking body (cf. report no. 4, which describes that the dreamer's toe looked  
431 like a banana, as well as difficulty controlling leg movements; for details and further  
432 references, see Windt 2010, forthcoming). Consequently, it is possible that the  
433 attenuation of ticklish sensations observed in our study is an artifact of the more  
434 general phenomenal-functional characteristics of bodily experience in the dream state.  
435 On this view, sensory attenuation would only be present for the sensory consequences  
436 of actual movements and would not be applicable to the case of dreamed actions  
437 unfolding independently of their outward counterparts.

438 We do not, however, think that this alternative explanation, in itself, offers an  
439 entirely satisfying explanation of our findings. To begin with, studies of lucid  
440 dreaming suggest that dream movements continue to be associated with muscle  
441 twitches in the respective limbs (LaBerge et al. 1981; Fenwick et al. 1984) as well as  
442 with activation of the sensorimotor cortex (Erlacher & Schredl 2008; Dresler et al.  
443 2011). Moreover, while touch, thermal and pain sensations are only rarely described  
444 in dream reports (Hobson, 1988), both lucid and nonlucid dreams do at least  
445 occasionally include vivid tactile or even pain sensations (e.g. Voss et al. 2011). This  
446 was also the case in at least some of the dreams reported by our subjects, who  
447 described either varying degrees of ticklishness or other sensations such as pain (cf.  
448 reports no. 1, 4, 5, 6). Also, a questionnaire-based study similar to our own found that  
449 dream caressing was rated as having as having equal intensity as actual (but not as  
450 imagined) caressing (Giguère & LaBerge, 1995). It at least seems possible, then, that  
451 our results can be compared to sensory attenuation of the type that is otherwise  
452 specific to the sensory consequences of self-generated actions in wakefulness.

453 A recent review of the factors underlying sensory attenuation further supports the  
454 claim that sensory attenuation is not wholly determined by motor predictions. As  
455 Hughes and colleagues (2013) suggest, the ability to predict or even control the  
456 timing of sensory events may also modulate sensory attenuation. As most existing  
457 studies have not controlled for these factors, it is unclear, according to the authors,  
458 that sensory attenuation, for instance during self-tickling, is driven by motor rather  
459 than temporal predictions or temporal control. They also tentatively suggest that  
460 temporal predictions may play a role in explaining schizophrenics' hallucinations and  
461 delusions of control. This leads us to speculate that a similar factor might be driving  
462 our results in lucid dreams as well.

463 A first conclusion, then, would be that lucid control dreams are the special case  
464 in which sensory attenuation spreads to actions initiated by "others", at least in the  
465 sense in which non-self dream characters are experienced as distinct from the self,  
466 thus dampening other-generated tickles to a level comparable to self-generated ones.  
467 It is noteworthy that in dreams, this is not, however, associated with a complete  
468 obliteration of the experienced self-other distinction. By contrast, in wakefulness,  
469 *illusory* feelings of agency, or the experience of being able to control another's  
470 actions (e.g. *vicarious agency* Wegner et al. 2004) typically also result in an illusory



471 feeling of ownership for these actions and in disturbed self-other distinctions (Tsakiris  
472 et al. 2006). For fully lucid dreamers, the situation seems to be different: even though  
473 they *know* that they are dreaming and are aware that non-self dream characters  
474 (including their actions) are ultimately creatures of their own making, they still  
475 continue to experience these as clearly distinct from themselves (see also our dream  
476 reports). Contrary to what one might expect based on studies of vicarious agency and  
477 full-body illusions in wakefulness, in dreams, controlling a body does not, it would  
478 seem, induce one to experience this body as one's own.

479         A fascinating question that we at present have no answer for is how to explain  
480 this difference. In order to be able even to gesture towards an explanation, one would  
481 have to know whether agency and/or sensory attenuation for dream tickles is prior to  
482 self-other distinction of the type involved, for instance, in experiencing another dream  
483 character as distinct from oneself (i.e. the dream self), whether the opposite is true, or  
484 whether these processes are independent. Whereas in wakefulness, ownership seems,  
485 at least occasionally, to follow on the heels of agency (such as in motor versions of  
486 the rubber-hand illusion; see Tsakiris et al.,2006), it is also possible that the purely  
487 phenomenological distinction between dream self and non-self dream characters taps  
488 into more basic and robust processes.

#### 489 **4.2 Sensory attenuation and self-other distinctions in dreams from** 490 **a predictive processing perspective**

491 The problem of how to describe the relationship between sensory attenuation and self-  
492 other distinctions in dreams can be nicely sharpened by describing it from the  
493 perspective of predictive processing. Recall that predictive processing accounts  
494 suggest that in dreaming as in waking, we only have access to our generative models,  
495 but are never in direct perceptual contact with the world. Hence, the direct  
496 comparison between these states within a predictive processing framework seems  
497 permissible—with the exception, noted above, that in dreams, the predictions are not  
498 kept in check by the outer world, thus being able to ‘roam free’. Conscious experience  
499 in dreams, then, may be seen as isolating our prior convictions from the ability to test  
500 them against incoming sensory input. On this view, dreaming is even more strongly  
501 constrained by our prior convictions about the world because we lack the means to  
502 check and adjust them to sensory input during perception and active inference.

503           Moreover, recent attempts to account for self-consciousness in a predictive  
504 processing framework highlight the probabilistic nature of self-representation,  
505 including the representation of one’s physical body (Limanowski & Blankenburg  
506 2013; Apps & Tsakiris 2014). What is experienced as the self is, on this view, highly  
507 plastic and constrained not only by low-level influences, such as sensory stimuli and  
508 proprioceptive cues (on the latter, see Seth et al. 2012; Aspell et al. 2013; van Elk et  
509 al. 2014), but also by high-level processes such as long-term beliefs. In particular, as  
510 Apps and Tsakiris (2014, p. 92) put it, “the free-energy account argues that  
511 information prior to an event will nuance predictions about the likely sensory input,  
512 and when sensory input is received, the prior information biases the probabilistic  
513 inferences that are made causes of an event.” Self-other distinctions in dreams, on this  
514 view, reflect sensory predictions operating under non-standard conditions of highly  
515 unstable and mostly internally generated sensory information and driven to a  
516 considerable extent by long-standing and shorter-term contextual beliefs.

517           What, then, are the priors driving the experience of self-tickling and being  
518 tickled by another in dreams? One of these, it would seem, is the conviction that we  
519 cannot fully control, or at least not directly and via acts of will, any bodily agent other  
520 than ourselves. Indeed, given that participants were asked to control the actions of  
521 dream characters they were already experiencing as distinct from the self, this might  
522 explain why the task investigated in our study was so difficult to complete in a lucid  
523 dream—and perhaps even the low response rate and the varying success of our  
524 participants. Perhaps, the type of control exerted over non-self dream characters in  
525 lucid dreams is sufficient to induce sensory attenuation for ticklish sensations, but not  
526 to obliterate the experience that other dream characters are distinct from oneself - and  
527 perhaps, the very nature of the task prevented our participants from developing this  
528 stronger form of control in the first place. This is also borne out by the fact that lucid  
529 dream control is often incomplete or has unintended results (Stumbrys et al. 2012).  
530 Yet, another interpretation is also possible. In particular, a strong conviction driving  
531 these effects in lucid dreams might be that to the extent that one is able to control an  
532 agent, this agent cannot be fully distinct from oneself. This would plausibly lead the  
533 sensory results of movements generated by these agents—such as tickling—to be  
534 experienced similarly to instances of tickling oneself. As Apps and Tsakiris (2014)  
535 note, the mere expectation or predictability of a self-stimulus might be sufficient to

536 lead to sensory attenuation. As being tickled by another in a lucid control dream is  
537 predictable, this might account for the spread of sensory attenuation to tickles  
538 generated by non-self dream characters. This also fits in well with the finding that  
539 authorship beliefs about the causes of sensory changes in the environment may be one  
540 of the factors underlying sensory attenuation (Desantis et al. 2012).

541 But yet another and perhaps even more basic prior is needed to explain why the  
542 self-other distinction is not obliterated completely in lucid control dreams. This is that  
543 at any given moment, there should not only be a self, but also no more than a single  
544 self. Indeed, dreams exacerbate the computational problem of determining which one  
545 among a number of different body models is the unit of identification (Metzinger  
546 2013) and hence experienced as the self. Recall that dreams are not only rich with  
547 social imagery, but also that input from the physical body, typically a primary source  
548 of information for self-representation (Apps & Tsakiris 2014), is only intermittently  
549 available. Yet, it is telling that even in lucid control dreams, where multiple (visual)  
550 body models are simultaneously active and under one's own control, only one of  
551 these is typically experienced as being the self, whereas the others are experienced as  
552 distinct from the self. This fits in well with the finding that in wakefulness, instances  
553 of bi-location and of identification with more than one body-model at the same time  
554 are rare and typically unstable (as in heautoscopy; see Blanke & Mohr 2005; see also  
555 Furlanetto et al. 2013). Research is only beginning to investigate the feeling of  
556 *disowning* one's own body in full-body illusions, and again, there is some indication  
557 that the experience of owning a different body comes at the price of disowning one's  
558 own (Guterstam & Ehrsson, 2012). Taken together with our evidence from lucid  
559 control dreams, this suggests that at its most basic, the self-other distinction is driven  
560 neither by agency nor by multisensory integration, but by the assumption that there is  
561 always exactly one unit of identification, the self. Dreams thus might be a good  
562 research model for investigating the simplest form of phenomenal selfhood (cf. Windt  
563 2010, forthcoming; Metzinger 2013) as well as the most basic forms of modeling and  
564 understanding others (for a discussion of the applicability of predictive processing to  
565 social cognition, see Blankenburg & Limanowski 2013).

566 In addition, note that in lucid dreams, there is also an interplay of long-standing  
567 and probably largely unconscious expectations of the type described above, and short-  
568 term, unconscious and conscious expectations about the specific situation encountered

569 in the dream (for the effect of unconscious priming on sensory attenuation, see  
570 Gentsch & Schütz-Bosbach 2011). Lucid dream control is a learnable skill (Stumbrys  
571 et al. 2012), and the complexity of the tickling task investigated in our study leads us  
572 to expect that our participants were likely experienced lucid dreamers, equipped with  
573 specific expectations about lucid dreams in general and non-self dream characters in  
574 particular. Indeed, as suggested by report no. 2, at least one participant was  
575 considering the theoretical implications of the dream experiment even while dreaming.  
576 At the very least, our participants, to the extent that they were indeed lucid, knew that  
577 they were dreaming and that they were controlling non-self dream characters that  
578 were not in fact real. They also may have had specific background beliefs about the  
579 autonomy of other dream characters, their own ability to control them, etc. Hence, it  
580 is quite possible that these lucid-dream-specific convictions colored our results as  
581 well. Indeed, dream report no. 4 describes that when the dreamer was unexpectedly  
582 tickled by another dream character, this felt more ticklish than willing the non-self  
583 dream character to perform the tickle-test. Expectations may also be driving the  
584 dreamer's discovery, in the same dream report, that, following an initially weak  
585 tickling sensation, he or she had a Band-Aid on the foot—almost as if the process of  
586 dream imagery production were automatically explaining away the unexpected  
587 weakness of the sensation. Seen from a predictive processing perspective, it thus  
588 seems possible that the role of expectation in lucid dreams was not so much, as  
589 indicated above, a limitation as a factor contributing to sensory attenuation for self-  
590 and other-administered tickles.

591 While it seems difficult or even near-impossible, for practical reasons, to tease  
592 these different factors apart in future studies of lucid dreaming, the way forward, we  
593 suggest, might be to create an experimental setup that could be performed with  
594 waking subjects, but that would nonetheless mimic the situation involved in lucid  
595 control dreams as closely as possible. We suggest that this might be a fruitful way of  
596 evaluating the different explanations briefly sketched above and thus of extending  
597 existing research on sensory attenuation during tickling.

### 598 **4.3 The way forward? Towards a new experimental paradigm**

599 The question, then, is whether a similar effect, involving sensory attenuation for  
600 other-administered tickling, whilst leaving the phenomenological distinction between

601 self and non-self intact, might exist in standard wake states as well. To begin with,  
602 note that in a sense, our explorative study can be regarded as the mirror image of the  
603 study conducted by van Doorn and colleagues (2014). While they asked whether  
604 swapping bodies with another enables one to tickle oneself, our study investigated not  
605 only whether one can tickle oneself in a dream, but also, at least implicitly, whether  
606 one can tickle oneself by controlling, indirectly and via thought, the movements of a  
607 non-self dream character. The waking analogue to this situation in lucid dreams  
608 would be to create a virtual reality (VR) setup in which participants can be tickled by  
609 avatars that are under their voluntary control for an extended period of time, but  
610 without simultaneously identifying with them or experiencing ownership for their  
611 bodies and bodily actions.

612         How might this be done? Standard VR setups and full-body and body-part  
613 illusions rely heavily on multisensory and sensorimotor coherence (for a review, see  
614 Bohil et al. 2011). Here, e.g. synchronous visuotactile stimulation leads participants to  
615 experience a virtual body (Lenggenhager et al. 2007) or body part (Botvinick &  
616 Cohen 1998) as their own. The same is true for setups in which participants control an  
617 avatar by making real-body movements (Slater et al. 2010). In order to mimic the  
618 situation in lucid dreams, a first step would be to dissociate bodily imagery from real-  
619 body movement. Indeed, several studies have used brain-computer interfaces to  
620 enable participants to control avatars or robots via bodily imagery (i.e. merely  
621 imagined movement; cf. Pfurtscheller et al. 2006; Friedman et al. 2007a,b), thus  
622 approximating the type of thought control involved in lucid dreams. Here, the general  
623 finding, once more in keeping with newer accounts of self-other distinctions in a  
624 predictive processing framework (cf. Apps & Tsakiris 2014), is that even these more  
625 abstract, imagistic forms of control lead participants to identify with the avatar. In  
626 order to mimic lucid control dreams, then, something more would be needed. In  
627 particular, VR would have to create a situation in which participants, perhaps thanks  
628 to sensorimotor coherence and bodily agency, first identified with one avatar, and  
629 then were given the ability to additionally control, perhaps via bodily imagery within  
630 the dream, the movements of another, such that the non-self avatar were now acting  
631 towards the self, e.g. by tickling its foot. We would now, as in a lucid dream, have  
632 two different avatars, driven by different kinds of control (e.g. bodily imagery versus  
633 real-body movement and sensorimotor contingency), only one of which would be the

634 target of ownership and identification. One could then investigate in more detail and  
635 in a more carefully controlled manner whether this would result, as in our study, in  
636 sensory attenuation for being tickled by the non-self avatar—and one could thereby  
637 make progress on isolating and experimentally manipulating the relevant factors  
638 underlying agency, ownership and the self-other distinction, as well as participants’  
639 prior expectations, both conscious and unconscious. A careful prediction would be  
640 that once participants had been induced to identify with one avatar, the unit of  
641 identification should remain stable even as they gain the ability to control another,  
642 which would continue to be experienced as distinct from the self. In particular, they  
643 should not, we submit, simultaneously identify with more than one avatar at the same  
644 time.

645       Even beyond the delicate matter of self-tickling, this type of experiment might  
646 have profound theoretical implications. In particular, it might help sharpen, both  
647 conceptually and experimentally, the distinction between different types of agency,  
648 ranging from agency for bodily movement under conditions of appropriate  
649 sensorimotor coherence, to bodily imagery in the absence of real-body enactment and  
650 sensorimotor coherence, to, perhaps, more abstract and conceptual forms of control,  
651 such as simply willing the avatar to tickle one’s foot. It might also shed light on the  
652 degree of precision of temporal and motor predictions required for bringing about  
653 sensory attenuation for the actions of a non-self character (e.g. in a dream or an avatar  
654 in a virtual environment) that is under participants’ indirect control (for an excellent  
655 review of factors underlying sensory attenuation, see Hughes et al. 2013). And finally,  
656 it might help identify (and tamper with) general, longer-term as well as context-  
657 specific, shorter-term expectations about the ability to control others in natural and  
658 virtual environments. At the same time, this type of experimental setup, though  
659 inspired by our findings in lucid dreams, might circumvent some of the  
660 methodological difficulties encountered by our study.

#### 661 **4.4 Sensory attenuation reversed: Towards a new theoretical** 662 **perspective**

663 More generally, if our results are taken at face value, they suggest a new perspective  
664 on the investigation of sensory attenuation. Much existing research has tried to create  
665 conditions in which the attenuation of self-generated actions is obliterated, raising

666 them to the level of other-generated actions and events. We submit that this research  
667 strategy could be complemented by attempts to isolate the conditions under which  
668 other-generated actions are dampened to the level of self-generated ones—but  
669 apparently without thereby being experienced as one’s own.

670 Studies investigating agency and self-other distinction during joint action (cf.  
671 Sebanz et al. 2006) indicate that sensory attenuation is indeed modulated by social  
672 interactions. Weiss and colleagues (2011) presented the first-ever evidence that  
673 sensory attenuation is not exclusively determined intra-individually, but also  
674 modulated by social interactions. Intriguingly, they found that sounds generated in an  
675 interactive context in which another person was acting on the participant’s request  
676 were significantly attenuated, suggesting that “the other person may become an  
677 integral part of one’s own internal sensorimotor loop that then specifies the relation  
678 between one’s own transmitting action, the other’s responsive action and sensory  
679 consequence” (Weiss et al. 2011, p. e22723). They also found that attenuation was  
680 strongest for self-produced sounds generated, interestingly, on request of another,  
681 possibly “due to a kind of contrastive enhancement of self-agency in the interactive  
682 action context” (Weiss et al. 2011, p. e22723). Yet, this is not to say that the  
683 difference between self- and other-generated actions is wholly obliterated in social  
684 interaction. Recently, it has been suggested that even in joint actions, such as in  
685 ensemble music performance, sensory attenuation helps distinguish one’s own  
686 contributions to a shared goal from that of others (Loehr 2013).

687 One way of explaining the results of our explorative study, consequently, might  
688 be to say that lucid dream control over the actions of non-self dream characters leads  
689 to sensory attenuation for other-administered tickles because this involves an  
690 incomplete simulation of joint action, where the non-self character is incompletely  
691 distinguished from the self. If this is correct, an intriguing possibility is that one way  
692 of investigating sensory attenuation during joint action may be to investigate cases in  
693 which no social interaction is really taking place, but where social interactions are  
694 either simulated internally, as in lucid dreams, or technologically, as in the  
695 hypothetical VR experiment sketched above.

697 **5. Conclusions**

698 To conclude, can you tickle yourself in a dream? At least for the special case of lucid  
699 control dreams, the answer seems to be no. And neither, apparently, can anyone else.  
700 Given the limitations of our explorative study, this result might be somewhat too  
701 weak to constitute a genuine test of whether one is now dreaming or awake, and thus  
702 to provide a palpable alternative to the better-known pinching test. Even though the  
703 tickle-test will likely not convince the determined skeptic, we still think, however,  
704 that the main value of this result is to suggest a new theoretical perspective on the  
705 problem of sensory attenuation for self- and other-generated actions, as well as new  
706 questions for future research. In investigating the factors contributing to sensory  
707 attenuation, future studies might focus not just on self-generated actions and events,  
708 but might also investigate the conditions under which sensory attenuation spreads to  
709 the sensory consequences of actions generated by others than the self. It might also  
710 focus on cases of simulated as opposed to actual social interaction and investigate in  
711 more detail how sensory attenuation and self-other distinctions change when they are  
712 simulated largely offline, as in dreams.

713 Finally, note that this also leads to an interesting metatheoretical observation.  
714 This is that aside from their specific results, lucid dream studies, even of the wholly  
715 exploratory nature presented here, may be theoretically valuable even if they are too  
716 speculative to warrant any strong conclusions in their own right. In particular, one  
717 reason for being interested in lucid dreams, if we are correct, is that the theoretical  
718 discussion of lucid dreaming is a kind of playground for dreaming up new and  
719 theoretically interesting experimental setups and suggesting new perspectives for  
720 future research, for instance on virtual reality, full-body illusions, sensory attenuation  
721 and the self-other distinction. If this is all we have achieved with this paper, we think  
722 it will have been well worth its while.

723



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941

942 **Table 1: Results of the Wilcoxon comparisons.**

943

944

Scale	State	Mean other	Mean self	Z-score	p-value
<b>Intense</b>	Waking	7.3	4.4	2.06	0.04
	Imagining	6.0	3.1	1.90	0.06
	Dreaming	5.3	3.4	1.46	0.14
<b>Ticklish</b>	Waking	8.3	4.3	2.02	0.04
	Imagining	6.3	3.2	2.00	0.046
	Dreaming	5.6	4.6	1.29	0.20
<b>Pleasant</b>	Waking	4.6	4.7	0.41	0.68
	Imagine	6.2	2.3	2.20	0.03
	Dreaming	5.3	3.0	1.60	0.11
<b>Irritating</b>	Waking	3.3	2.1	1.84	0.07
	Imagining	2.6	2.0	0.45	0.66
	Dreaming	1.7	1.4	1.41	0.16

945



946

947 **Figure legends:**

948

949 Figure 1: Mean and standard error of the participants' ratings for each of the four  
950 scales in the three different conditions (waking, imagining, dreaming). \* indicates  
951  $p < 0.05$  according to a nonparametric comparison between ratings for self-tickling and  
952 ratings for being tickled by another person.

Figure 1.JPEG

